

RECIDIVISM OF FELONS ON PROBATION, 1986-1989:
[UNITED STATES]

(ICPSR 9574)

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Bureau of Justice Statistics
U.S. Department of Justice

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BIBLIOGRAPHIC CITATION

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DATA DISCLAIMER

The original collector of the data, ICPSR, and the relevant funding agency bear no responsibility for uses of this collection or for interpretations or inferences based upon such uses.

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INTRODUCTION

DATA COLLECTION DESCRIPTION

The 1986 probation follow-up survey provides detailed information about felony probationers in 32 jurisdictions in the year 1986. The dataset is composed of 12,369 unweighted cases. The 12,369 cases are representative of 81,927

probationers. The dataset includes, but is not limited to, the following information: financial assessments imposed by the court, behavioral conditions, and caseloads of probation officers.

The study uses three primary sources of information: sentencing records, probation files, and criminal history files. Sentencing records provided a way for the sample to be drawn and also provided information on the case selected in the sample. Probationer files provided information about probationer's sex, marital status, education level, reason for leaving probation, supervision level and behavioral conditions (for example, attend alcohol treatment, perform community service, submit to drug tests). Criminal history files were used to provide information about arrest activity of the probationer while under supervision.

Connection to the "1986 Survey of Felony Sentencing in State Courts, 1986"

The sentencing survey, based on a representative sample of convictions in 100 counties, estimates that 306,000 felons altogether received probation that year. The follow-up, based on 32 counties selected out of the 100 counties and 81,927 probationers out of the total 306,000 (or 1 in 4), provides the opportunity to observe the conduct of large numbers of them while on probation.

SAMPLING

The cases that were examined in the data set were a subset of cases collected by the National Association of Criminal Justice Planners (NACJP) for the National Judicial Reporting Program (NJRP), which is a statistical study of felony sentencing in State Courts in the nation. The NACJP selected 32 out of the 100 jurisdictions and selected those felons placed on probation in these counties. The sampled probationers in each of these counties are representative of

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all felons sentenced to probation in each of these counties. Information on how felony cases were sampled is contained in the National Judicial Reporting Program, 1986 codebook (see ICPSR 9073).

Exposure to Probation

The methodology employed here was that of a cohort study, composed of persons sentenced to probation in 1986. The probation cases in this data set experienced differential exposure time to probationary supervision. This

differential exposure time was primarily attributable to the fact that the bulk of the cases came from sentences meted out over a 12 month time span within calendar year 1986 and that the bulk of the questionnaires were completed between July and September of 1989. Consequently, the maximum exposure that a case could have had to probation was 44 months (January 1986 to September 1989) while the minimum exposure could have been 29 months (December, 1986 to June, 1989).

The exposure time on arrest activity was several months longer. The criminal histories could not be requested until the probation questionnaires were returned to the National Association of Criminal Justice Planners. The questionnaires contained the probationer's state arrest identification number, which was used in making the rap sheet request. Arrest activity up to the time of the request was sought. Rap sheets arrived at the NACJP between December, 1989 and June, 1990.

Data Sources

The information on these probation cases was basically gathered by hand. Only in a couple of jurisdictions, such as Baltimore, was there the ability to generate some of the data requested from computerized data bases. Generally, the data collection effort required reviewing the probation file and then recording information from that file onto the questionnaire. In some instances, such as Los Angeles County, probation officers collected the data. In many of the jurisdictions college students were hired by the probation department to review the files and code the data.

A booklet that contained a detailed explanation of the information being sought was provided to all data collectors. The format of the questionnaire tended to minimize differences in the data coding between those questionnaires being completed by probation officers and the part-time help. Indeed, the big difference between the probation officers and the part-time help was the speed, not necessarily the accuracy, in completing the questionnaires.

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Knowledge of how information was organized in the probation files generally placed probation agency employees at an advantage over the students in the speed with which they could collect the data. (Persons desiring a copy of this coding instruction booklet should contact the NACJP regarding availability and costs.)

Perhaps the biggest problem in terms of the quality of the data resided with the probation files themselves. The

coders, regardless of who they were, could only record that information that was in the official file. As is the case in any recorded system that relies on narratives, the level of detail and consistency of the information in the probation file can vary. While that is a real limitation to the data here, the information in these official probation files, nonetheless, represents a valuable resource for obtaining a picture of how probation functions.

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FILE STRUCTURE

RECIDIVISM OF FELONS ON PROBATION, 1986-1989 is available from ICPSR as a logical record length dataset. There are 149 variables on 12,369 cases.

The data file consists of SAS and SPSS control statements that give the format and other information for each variable in the data file.

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CODEBOOK INFORMATION

The example below is a reproduction of information appearing in the machine-readable codebook for a typical variable. The numbers in brackets do not appear but are references to the descriptions which follow this example.

.....

[1] VAR 0020 [2] PROB RECRD JAIL IMPOSED [3] MD=8
REF 0020 [4] LOC 35 WIDTH 1

[5] Probation records reflect jail being imposed?

[6] In some jurisdictions probationers who had pre-trial detention were erroneously coded as "1" in this variable.

[7] [8]

- 1. Yes
- 2. No

8. Not ascertained

.....

- [1] Indicates the variable and reference numbers. A variable number and a reference number are assigned to each variable in the data collection. In the present codebook which documents the archived data collection these numbers are identical.
- [2] Indicates the abbreviated variable name (maximum of 24 characters) used to identify the variable for the user. An expanded version of the variable name can be found in the variable description list.
- [3] Indicates the code values of missing data. In this example, code values equal to 98 are missing data (MD=98). Alternative statements for other variables are "MD=0," "MD GE 9," or "NO MISSING DATA CODES." Some analysis software packages require that certain types of data which the user desires to be excluded from analysis be designated as "MISSING DATA," e.g.,

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inappropriate, unascertained, unascertainable, or ambiguous data categories. Although these codes are defined as missing data categories, this does not mean that the user should not or cannot use them in a substantive role if so desired.

- [4] Indicates the starting location and width of this variable. If the variable is of a multiple-response type, the width referenced is that of a single response. In this example the variable named "PROB RECRD JAIL IMPOSED" is 2 column(s) wide and is located in the 45th column within the record.
- [5] This is the full text (question) supplied by the investigator to describe the variable. The question text and the numbers and letters that may appear at the beginning reflect the original wording of the questionnaire item.
- [6] Indicates an additional comment or explanation appended to the variable description.
- [7] Indicates the code values occurring in the data for

this variable.

- [8] Indicates the textual definitions of the codes. Abbreviations commonly used in the code definitions are "DK" (Do Not Know), "NA" (Not Ascertained), and "INAP" (Inappropriate).

ICPSR PROCESSING INFORMATION

The data collection was processed according to the standard ICPSR processing procedures. The data were checked for illegal or inconsistent code values which, when found, were recoded to missing data values. Statements bracketed in "<" and ">" signs in the body of the codebook were added by the processors for explanatory purposes.

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VARIABLE DESCRIPTION LIST

ICPSR PROCESSING VARIABLES

- 1 ICPSR study number-9574
- 2 ICPSR edition number-2
- 3 ICPSR part number-1
- 4 ICPSR sequence number

PROBATIONERS' CHARACTERISTICS

- 5 Sequence number
- 6 Case status
- 7 Number of conviction charges
- 8 Three digit offense code
- 9 Probationers' sex
- 10 Percentage of time employed
- 11 Average hourly wage
- 12 Marital status
- 13 Educational level attained
- 14 Address changes in twelve months

HISTORY OF FELONY CONVICTIONS

- 15 Drug abuse history
- 16 Number of prior felony convictions
- 17 Type of supervision
- 18 Transferred to another jurisdiction
- 19 Reason for being transferred

20 Probation records reflect jail being imposed
21 Number of probation officers
22 Case load of probation officers
23 Recode of probationers assigned
24 Number of felony arrests
25 Community placement ordered
26 Status of compliance with community placement
27 Alcohol treatment ordered
28 Status of compliance with alcohol treatment
29 Drug treatment ordered
30 Status of compliance with drug treatment
31 Drug testing ordered
32 Status of compliance with drug testing
33 Mental health counselling ordered
34 Status of compliance with mental health counselling
35 House arrest ordered
36 Status of compliance with house arrest order
37 Day programming ordered
38 Status of compliance with day programming
39 Community service ordered

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40 Status of compliance with community service order
41 Community or public service hours ordered
42 Number of hours performed to date
43 Recode for number of community hours
44 Percent of community work performed
45 Recode of percent of community hours
46 Number of behavioral conditions imposed
47 Score for complying with behavioral conditions
imposed
48 Percent of compliance with behavioral conditions
49 Recode of compliance percent
50 How house arrest monitored

DISCIPLINARY HEARINGS

51 Number of formal disciplinary hearings
52 What precipitated first hearing
53 What precipitated second hearing
54 What precipitated third hearing
55 Type of offense - 1st hearing
56 Type of offense - 2nd hearing
57 Type of offense - 3rd hearing
58 Outcome of first hearing
59 Outcome of second hearing
60 Outcome of third hearing

PROBATION SENTENCES & FINES

61 Probation case weight
62 Length of probation sentence

63 Probation flag
64 Court fine imposed
65 Court fees imposed
66 Probation fees imposed
67 Weighted probation fees assessed
68 Weighted probation fees paid
69 Restitution imposed
70 Weighted restitution assessment
71 Weighted restitution payment
72 Victim compensation fee imposed
73 Other fees imposed
74 Public defender fees imposed
75 Financial flag
76 Total financial conditions imposed
77 Weighted total assessment
78 Weighted total payment
79 Amount fine assessment imposed
80 Amount court fees assessed
81 Amount defender fees assessed
82 Total fees assessed
83 Weighted court assessments

1

x

84 Weighted court payments
85 Total other fees
86 Weighted other assessments
87 Weighted other payments
88 Distribution of all court assessments
89 Distribution of supervision fees
90 Distribution of restitution fees
91 Distribution of all other fees
92 Distribution of all other assessments
93 Distribution of percent of assessments-court
94 Distribution of percent of assessments-supervision
95 Distribution of percent of assessments-restitution
96 Distribution of percent of assessments-other
97 Distribution of percent of assessments-total

AGE, RACE, ETHNICITY

98 Days since 1900 sentence
99 Age - weighted
100 Age distribution
101 Days since 1900 probation began
102 Days since 1900 probation ended
103 Days since first disciplinary hearing
104 Days since first violation
105 Weighted probation time
106 Recode of time to first hearing
107 Recode of time from violation to hearing
108 Weighted time from probation to first hearing
109 Weighted time probation to first violation-days
110 Risk flag

111 Risk score
112 Weighted risk
113 Race of probationer
114 Ethnicity of probationer

ARRESTS, SENTENCES, DISPOSITION

115 Number of felony arrests from questionnaire
116 Number of felony arrests while under supervision
117 First felony arrest while on probation
118 Sentence imposed for first felony arrest
119 Sentence imposed for second felony arrest
120 Sentence imposed for third felony arrest
121 Prison Flag
122 Number of felony arrests prior to 1986
123 Status of request-receipt of rap sheet information
124 Time from probation sentence to arrest
125 Number of felony arrests while on probation
126 Weighted time from sentence to first arrest
127 Number of felony arrests combined
128 Jail term imposed

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129 1986 conviction offense
130 Jurisdiction
131 Presentence investigation before sentencing
132 Probation recommended
133 Still on probation
134 Initial supervision level
135 Last supervision level
136 Last supervision level
137 Arrest date match-rap sheet and sentencing survey
138 Additional arrests after probation
139 Last felony offense recoded
140 Last prior felony arrest offense
141 Time from probation to first arrest-rap sheet
and probation files
142 First felony arrest offense-rap sheet and
probation files
143 Second felony arrest offense-rap sheets only
144 Third felony arrest offense-rap sheets only
145 First felony arrest disposition
146 Second felony arrest disposition
147 Third felony arrest disposition
148 BJS conviction offense
149 BJS arrest offense

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VAR 0001 ICPSR STUDY NUMBER-9574 NO MISSING DATA CODES
REF 0001 LOC 1 WIDTH 4

ICPSR Study Number--9574

9574. The ICPSR has attached this number as a unique data collection identification number.

.....
VAR 0002 ICPSR EDITION NUMBER-2 NO MISSING DATA CODES
REF 0002 LOC 5 WIDTH 1

ICPSR Edition Number--2

The number identifying the release edition of the data collection.

2. 2nd Edition, November 1994

.....
VAR 0003 ICPSR PART NUMBER-1 NO MISSING DATA CODES
REF 0003 LOC 6 WIDTH 1

ICPSR Part Number--1

1. The entire data collection is contained in one part.

.....
VAR 0004 ICPSR SEQUENCE NUMBER NO MISSING DATA CODES
REF 0004 LOC 7 WIDTH 5

ICPSR Sequential Case Identification Number

The ICPSR has attached a sequential identification number to every case. This number uniquely identifies each case in the data collection.

1

2

VAR 0005 SEQUENCE NUMBER NO MISSING DATA CODES
REF 0005 LOC 12 WIDTH 4

Sequence number--number assigned by NACJP to identify cases

Actual number is coded.
.....

VAR 0006 CASE STATUS NO MISSING DATA CODES
REF 0006 LOC 16 WIDTH 1

Case status

<Cases that were out of study were either probation violators or misdemeanor conviction cases, not convicted felons. If a case was coded out of study, then cases were coded 'not ascertained' on all the variables in the dataset.>

1. Out of study
2. In study, file couldn't be located
3. In study, no file but information in probation case
4. In study

.....
VAR 0007 # CONVICTION CHARGES MD=98
REF 0007 LOC 17 WIDTH 2

Number of conviction charges

01. One
- .
- .
- .
16. Sixteen
98. Not ascertained

1

3

VAR 0008 3 DIGIT OFFNSE CODE MD=998
REF 0008 LOC 19 WIDTH 3

3 Digit Conviction Offense Code for felony offense that
resulted in 1986 probation

100. Homicides (undifferentiated)
110. Murder
120. Murder
130. Manslaughter
140. Reckless (including vehicular)
200. Rape (undifferentiated)
210. Forcible Rape
220. Statutory
300. Robbery (undifferentiated)

VAR 0011 AV HOURLY WAGE MD=998
REF 0011 LOC 24 WIDTH 3
IMP DEC=1

Average hourly wage--number (decimal point implied) derived
from information provided

Actual number is coded.

998. Not applicable/not ascertained

.....
VAR 0012 MARITAL STATUS MD=8
REF 0012 LOC 27 WIDTH 1

Marital status at probation intake

- 1. Married/widower
- 2. Divorced/separated
- 3. Single

1

5

(CONTINUED)

8. Not ascertained

.....
VAR 0013 EDUCATIONAL LEVEL MD=8
REF 0013 LOC 28 WIDTH 1

Educational level attained at time of probation intake

- 1. Grade school
- 2. Some high school
- 3. High school (GED)
- 4. Some college
- 5. College degree (including post grad)

- 8. Not ascertained

.....
VAR 0014 # ADDRESS CHANGES MD=8
REF 0014 LOC 29 WIDTH 1

Number of address changes in the 12 months prior to
probation intake

VAR 0018 TRANSFERRED MD=8
REF 0018 LOC 33 WIDTH 1

Transferred to another jurisdiction?

1. No
2. Yes, in state
3. Yes, out of state

1

7

(CONTINUED)

8. Not ascertained

.....
VAR 0019 REASON LEAVING MD=8
REF 0019 LOC 34 WIDTH 1

Reason for leaving

1. Term served
2. Died
3. Absconded
4. Prison (revoked)
5. Other

8. Not ascertained

.....
VAR 0020 PROB RECRD JAIL IMPOSED MD=8
REF 0020 LOC 35 WIDTH 1

Probation records reflect jail being imposed?

In some jurisdictions probationers who had pre-trial
detention were erroneously coded as "1" in this variable.

1. Yes
2. No

8. Not ascertained

.....
VAR 0021 # PROBATION OFFICERS MD=98
REF 0021 LOC 36 WIDTH 2

Number of probation officers that probationer had while

under supervision

00. None

1

8

(CONTINUED)

.

08. Eight

98. Not ascertained

VAR 0022 CASELOAD MD=9998
REF 0022 LOC 38 WIDTH 4

Caseload of probation officers at time the probationer was assigned

Actual number is coded

9998. Not ascertained

VAR 0023 PROBS RCODE PROB OFFCR MD=8
REF 0023 LOC 42 WIDTH 1

Recode for number of probationers assigned to supervising probation officer

- 1. 1 to 50 probationers
- 2. 51 to 100
- 3. 101 to 150
- 4. 151 to 200
- 5. 201 to 250
- 6. 250 or more probationers

8. Not ascertained

VAR 0024 # FELONY ARRESTS MD=98
REF 0024 LOC 43 WIDTH 2

Number of felony arrests during supervision as reported by the probation agency

1

9

(CONTINUED)

VAR 0028 STATUS ALCOHOL TREATMNT MD=8 OR GE 9
REF 0028 LOC 48 WIDTH 1

Status of compliance with alcohol treatment order

1. Satisfied
2. Progress made
3. Not satisfied

8. Not ascertained
9. Not applicable

.....
VAR 0029 DRUG TREATMENT MD=8
REF 0029 LOC 49 WIDTH 1

Drug treatment ordered?

1. Yes
2. No

8. Not ascertained

.....
VAR 0030 STATUS DRUG TREATMENT MD=8 OR GE 9
REF 0030 LOC 50 WIDTH 1

Status of compliance with drug treatment order

1. Satisfied
2. Progress made

1

11

(CONTINUED)

3. Not satisfied

8. Not ascertained
9. Not applicable

.....
VAR 0031 TEST FOR DRUGS MD=8
REF 0031 LOC 51 WIDTH 1

Testing for drug use ordered?

VAR 0035 HOUSE ARREST
REF 0035 LOC 55 WIDTH 1

MD=8

House arrest ordered?

- 1. Yes
- 2. No

- 8. Not ascertained

.....
VAR 0036 STATUS HOUSE ARREST
REF 0036 LOC 56 WIDTH 1

MD=8 OR GE 9

Status of compliance with house arrest order

- 1. Satisfied
- 2. Progress made
- 3. Not satisfied

- 8. Not ascertained

1

13

(CONTINUED)

- 9. Not applicable

.....
VAR 0037 DAY PROGRAM
REF 0037 LOC 57 WIDTH 1

MD=8

Day programming ordered?

- 1. Yes
- 2. No

- 8. Not ascertained

.....
VAR 0038 STATUS DAY PROGRAM
REF 0038 LOC 58 WIDTH 1

MD=8 OR GE 9

Status of compliance with day programming order

- 1. Satisfied
- 2. Progress made
- 3. Not satisfied

-
1. 0 percent
 2. 1 to 24 percent
 3. 25 to 49 percent
 4. 50 to 74 percent
 5. 75 to 99 percent
 6. 100 percent

1

16

(CONTINUED)

9. Not applicable

.....

VAR 0046	# BEH CONDITION	MD=98
REF 0046	LOC 74 WIDTH 2	

Total number of behavioral conditions imposed by the court

The eight possible conditions are; community placement, alcohol treatment, drug treatment, drug testing, mental health counseling, house arrest, day program, community service.

00. None
- .
- .
- .
08. Eight conditions
98. Not ascertained

.....

VAR 0047	SCORE COMPLIANCE	NO MISSING DATA CODES
REF 0047	LOC 76 WIDTH 1	

Score for complying with behavioral conditions
 One point for each condition satisfied
 Half point for making progress
 Zero for not satisfied

Above summed for all conditions imposed

Actual number is coded

.....

VAR 0048	PCT BEHAV CONDITIONS	MD=999
REF 0048	LOC 77 WIDTH 3	

Compliance percent derived from dividing the total number of behavioral conditions imposed by the court by the score for

1

17

(CONTINUED)
complying with the behavioral conditions

- 000. Zero percent
- .
- .
- 100. One hundred percent
- 999. Not applicable

.....

VAR 0049	RECODE BEH CONDITIONS	MD=8 OR GE 9
REF 0049	LOC 80 WIDTH 1	

Recode of compliance percent, derived from dividing the total number of behavioral conditions imposed by the court by the score for complying with the behavioral conditions

- 1. 0 percent
- 2. 1 to 24 percent
- 3. 25 to 49 percent
- 4. 50 to 74 percent
- 5. 75 to 99 percent
- 6. 100 percent
- 8. Not ascertained
- 9. Not applicable

.....

VAR 0050	MONITOR HOUSE ARRESTS	MD=8 OR GE 9
REF 0050	LOC 81 WIDTH 1	

How house arrest is monitored?

- 1. Electronically
- 2. Random calls
- 3. Other
- 8. Not ascertained
- 9. Not applicable

1

18

VAR 0051 # FORMAL HEARINGS
REF 0051 LOC 82 WIDTH 2

MD=98

Number of formal disciplinary hearings

- 00. None
- .
- .
- 12. Twelve hearings
- 98. Not ascertained

.....
VAR 0052 PRECIP 1ST HEARING
REF 0052 LOC 84 WIDTH 1

MD=8 OR GE 9

What precipitated 1st hearing?

- 1. New conviction
- 2. New arrest
- 3. Absconded
- 4. Technical violation
- 5. Bench warrant
- 6. Other
- 8. Not ascertained
- 9. Not applicable

.....
VAR 0053 PRECIP 2ND HEARING
REF 0053 LOC 85 WIDTH 1

MD=8 OR GE 9

What precipitated 2nd hearing?

- 1. New conviction
- 2. New arrest
- 3. Absconded
- 4. Technical violation
- 5. Bench warrant

(CONTINUED)

- 6. Other
- 8. Not ascertained
- 9. Not applicable

REF 0057 LOC 89 WIDTH 1

Type of offense--3rd hearing

- 1. Felony
- 2. Felony and misdemeanor
- 3. Misdemeanor

- 8. Not ascertained
- 9. Not applicable

.....

VAR 0058 OUTCOME 1ST HEARING MD=8 OR GE 9
 REF 0058 LOC 90 WIDTH 1

Outcome of 1st hearing

- 1. Prison
- 2. Jail
- 3. Warrant
- 4. Reinstated with new condition
- 5. Reinstated with no new condition
- 6. Charges dropped/not sustained
- 7. Other

- 8. Not ascertained

1

21

(CONTINUED)

- 9. Not applicable

.....

VAR 0059 OUTCOME 2ND HEARING MD=8 OR GE 9
 REF 0059 LOC 91 WIDTH 1

Outcome of 2nd hearing

- 1. Prison
 - 2. Jail
 - 3. Warrant
 - 4. Reinstated with new condition
 - 5. Reinstated with no new condition
 - 6. Charges dropped/not sustained
 - 7. Other

 - 8. Not ascertained
 - 9. Not applicable
-

VAR 0060 OUTCOME 3RD HEARING
REF 0060 LOC 92 WIDTH 1

MD=8 OR GE 9

Outcome of 3rd hearing

1. Prison
2. Jail
3. Warrant
4. Reinstated with new condition
5. Reinstated with no new condition
6. Charges dropped/not sustained
7. Other

8. Not ascertained
9. Not applicable

1

22

VAR 0061 PROBATION CASE WGHT
REF 0061 LOC 93 WIDTH 9

MD=98

IMP DEC=2

Probation case weight

SEE NOTE(S) 1

98. Not ascertained

.....
VAR 0062 PROB TERM: MONTHS
REF 0062 LOC 102 WIDTH 3

MD=998

Length of probation sentence received (in months)

001. One month
- .
- .
- .
144. One hundred forty-four months

998. Not ascertained

.....
VAR 0063 FLAG: PROBATION
REF 0063 LOC 105 WIDTH 1

NO MISSING DATA CODES

(CONTINUED)

Actual number is coded

9999998. Not ascertained

.....

VAR 0071	WEIGHT REST PAID	MD=9999998
REF 0071	LOC 127 WIDTH 7	

Weighted restitution payment

Calculated by the payment amount times the case weight

Actual number is coded

9999998. Not ascertained

.....

VAR 0072	VICTIM COMPENSATION	MD=8
REF 0072	LOC 134 WIDTH 1	

Victim compensation fee imposed by the court?

-
- 1. Yes, amount known
 - 2. Yes, amount unknown
 - 3. No
 - 8. Not ascertained

.....

VAR 0073	OTHER FEES	MD=8
REF 0073	LOC 135 WIDTH 1	

Other fees imposed by the court?

-
- 1. Yes, amount known
 - 2. Yes, amount unknown

(CONTINUED)

3. No

8. Not ascertained

.....

VAR 0074 PUB DEFENDER FEES MD=8
REF 0074 LOC 136 WIDTH 1

Public defender fees imposed by the court?

1. Yes, amount known
2. Yes, amount unknown
3. No

8. Not ascertained

.....
VAR 0075 FINANCIAL FLAG MD=9
REF 0075 LOC 137 WIDTH 1

Indicator of whether any assessments imposed

1. At least one financial assessment
2. No financial assessments imposed

9. Not applicable

.....
VAR 0076 TOTAL # FIN CONDITIONS NO MISSING DATA CODES
REF 0076 LOC 138 WIDTH 1

Total number of financial conditions imposed

The six possible conditions are: court fine, court fees,
probation fees, restitution, victim compensation, other
fees.

0. None

1

27

(CONTINUED)

- .
- .
- .

6. Six conditions

.....
VAR 0077 WEIGHT TOTAL ASSESS MD=9999998
REF 0077 LOC 139 WIDTH 7

Weighted total assessment

VAR 0081 PUB DEF FEES ASSESS AMT MD=9998
REF 0081 LOC 163 WIDTH 4

Public defender fees--assessment amount imposed by the court

Actual number is coded

9998. Not ascertained

.....
VAR 0082 TOTAL FEES ASSESSED MD=8
REF 0082 LOC 167 WIDTH 1

Combined: court fees, public defender and fines

- 1. Yes
- 2. No

- 8. Not ascertained

VAR 0083 WEIGHT COURT ASSESS MD=9999998
REF 0083 LOC 168 WIDTH 7

Weighted court assessments

Calculated by the assessment amount times the case weight

Actual number is coded

9999998. Not ascertained

.....
VAR 0084 WEIGHT AMT PAYMENTS MD=999998
REF 0084 LOC 175 WIDTH 6

Weighted court payments

Calculated by the payment amount times the case weight

Actual number is coded

999998. Not ascertained

.....
VAR 0085 VICTIM COMP FEES COMB MD=8
REF 0085 LOC 181 WIDTH 1

Combined: victim compensation fees and other

- 1. Yes
- 2. No

- 8. Not ascertained

1 30

VAR 0086 WEIGHT OTHR ASSESS MD=999998
REF 0086 LOC 182 WIDTH 6

Weighted other assessments

Calculated by the assessment amount times the case weight
Actual number is coded

999998. Not ascertained

.....
VAR 0087 WEIGHT OTHER PAY MD=99998
REF 0087 LOC 188 WIDTH 5

Weighted other payments

Calculated by the payment amount times the case weight
Actual number is coded

99998. Not ascertained

.....
VAR 0088 DISTRIBUTE COURT ASSESS MD=8
REF 0088 LOC 193 WIDTH 1

Distribution of all other fees

- 1. Less than \$100
- 2. \$100 to \$249
- 3. \$250 to \$499
- 4. \$500 to \$999
- 5. \$1000 to \$4999

1

32

(CONTINUED)

- 6. \$5000 or more
- 8. Not ascertained

.....

VAR 0092	DIST ALL ASSESSMENTS	MD=8
REF 0092	LOC 197 WIDTH 1	

Distribution of all other assessments

- 1. Less than \$100
- 2. \$100 to \$249
- 3. \$250 to \$499
- 4. \$500 to \$999
- 5. \$1000 to \$4999
- 6. \$5000 or more

- 8. Not ascertained

.....

VAR 0093	DIST PERCNT ASSESS-CRT	MD=8
REF 0093	LOC 198 WIDTH 1	

Distribution of percent of assessment paid: court

- 1. 0 percent
- 2. 1 to 24 percent
- 3. 25 to 49 percent
- 4. 50 to 74 percent
- 5. 75 to 99 percent
- 6. 100 percent

- 8. Not ascertained

.....

VAR 0094	DIST PERCNT ASSESS-SUP	MD=8
REF 0094	LOC 199 WIDTH 1	

Distribution of percent of assessment paid: supervision

1

33

(CONTINUED)

- 1. 0 percent
- 2. 1 to 24 percent
- 3. 25 to 49 percent
- 4. 50 to 74 percent
- 5. 75 to 99 percent
- 6. 100 percent

- 8. Not ascertained

.....

VAR 0095	DIST PERCNT REST FEES	MD=8
REF 0095	LOC 200 WIDTH 1	

Distribution of percent of assessment paid: restitution

- 1. 0 percent
- 2. 1 to 24 percent
- 3. 25 to 49 percent
- 4. 50 to 74 percent
- 5. 75 to 99 percent
- 6. 100 percent

- 8. Not ascertained

.....

VAR 0096	DIST PERCNT ASSESS OTHR	MD=8
REF 0096	LOC 201 WIDTH 1	

Distribution of percent of assessment paid: other

- 1. 0 percent
- 2. 1 to 24 percent
- 3. 25 to 49 percent
- 4. 50 to 74 percent
- 5. 75 to 99 percent
- 6. 100 percent

- 8. Not ascertained

1

34

VAR 0097	DIST PERCNT ASSESS-TOT	MD=8
REF 0097	LOC 202 WIDTH 1	

- 5. 40 to 49 years
- 6. 50 or older

- 8. Not ascertained

.....

VAR 0101	DAYS 1900	PROBATN	BEGAN	MD=99998
REF 0101	LOC 213	WIDTH 5		

Days since 1900 when probation began

Actual number is coded

99998. Not ascertained

.....

VAR 0102	DAYS 1900	PROBATN	END	MD=9998
REF 0102	LOC 218	WIDTH 5		

Days since 1900 when probation ended

Actual number is coded

9998. Not ascertained

1

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VAR 0103	DAYS 1900	DSCPLNRY	HEARN	MD=99997
REF 0103	LOC 223	WIDTH 5		

Days since 1900 when first disciplinary hearing held

Actual number is coded

99997. Not ascertained/not applicable

.....

VAR 0104	DAYS 1900	1ST VIOLTN	MD=99997
REF 0104	LOC 228	WIDTH 5	

Days since 1900 when first violation occurred

VAR 0115 # FELONY ARRESTS-QUEST MD=8
REF 0115 LOC 260 WIDTH 1

Number of felony arrests according to probationer
questionnaire

- 0. No arrests
- 1. At least one arrest

- 8. Not ascertained

.....
VAR 0116 # FELONY ARRESTS-SUPERV MD=98
REF 0116 LOC 261 WIDTH 2

Number of felony arrests while under supervision

- 00. None
- .
- .
- .
- 12. Twelve arrests

- 98. Not ascertained

.....
VAR 0117 1ST ARREST OFFENSE CODE MD=998
REF 0117 LOC 263 WIDTH 3

First felony offense arrest while on probation.

- 100. Homicides (undifferentiated)
- 110. Murder
- 130. Manslaughter
- 140. Reckless (including vehicular)
- 200. Rape (undifferentiated)
- 210. Forcible Rape
- 220. Statutory
- 300. Robbery (undifferentiated)
- 310. Armed robbery

(CONTINUED)

- 320. Unarmed robbery
- 400. Assault
- 430. Attempted homicide

- 500. Burglary (undifferentiated)
- 510. Residential
- 520. Other structure
- 530. Burglary involving contact with victim or a weapon
- 600. Theft
- 700. Drug trafficking (undifferentiated)
- 710. Narcotics, cocaine, Schedules I & II
- 720. All other except cannabis
- 730. Cannabis
- 800. Miscellaneous
- 810. Sex related offenses
- 820. Weapons related offenses
- 830. Drug possession
- 840. Possession of stolen property
- 850. Forgery
- 860. Fraud
- 870. Motor vehicular related offenses
- 880. Escape

- 998. Not ascertained

.....

VAR 0118 SENTNCE IMPOSED-1ST ARR MD=98 OR GE 99
REF 0118 LOC 266 WIDTH 2

If guilty, sentence imposed: 1st arrest while on probation

- 01. Prison
- 02. Jail
- 03. Jail and probation
- 04. Probation only
- 05. Other
- 07. Not guilty/case dropped
- 08. Guilty, sentence pending

- 98. Not ascertained
- 99. Not applicable

1

42

VAR 0119 SENTNCE IMPOSED-2ND ARR MD=98 OR GE 99
REF 0119 LOC 268 WIDTH 2

If guilty, sentence imposed: 2nd arrest while on probation

- 01. Prison
- 02. Jail
- 03. Jail and probation
- 04. Probation only
- 05. Other

- 07. Not guilty/case dropped
- 08. Guilty, sentence pending

- 98. Not ascertained
- 99. Not applicable

.....

VAR 0120 SENTNCE IMPOSED-3RD ARR MD=98 OR GE 99
 REF 0120 LOC 270 WIDTH 2

If guilty, sentence imposed: 3rd arrest while on probation

- 01. Prison
- 02. Jail
- 03. Jail and probation
- 04. Probation only
- 05. Other
- 07. Not guilty/case dropped
- 08. Guilty, sentence pending

- 98. Not ascertained
- 99. Not applicable

.....

VAR 0121 PRISON FLAG MD=8
 REF 0121 LOC 272 WIDTH 1

Indicates whether prison was imposed on any arrest while on probation

(CONTINUED)

- 0. No prison sentences
- .
- .
- 3. Three prison sentences

- 8. Not ascertained

.....

VAR 0122 # FELONY ARRSTS SENTNCE MD=98
 REF 0122 LOC 273 WIDTH 2

Number of felony arrests prior to date of 1986 sentence to probation

Calculated by the case weight times the time from sentence

to the first arrest

- 00. None
- .
- .
- .
- 13. Thirteen arrests
- 98. Not ascertained

.....

VAR 0123	STATUS RAP SHT REQUEST	NO MISSING DATA CODES
REF 0123	LOC 275 WIDTH 1	

Status of request and receipt of rap sheet information

-
- 1. Request made, rap sent
 - 2. Request not made

.....

VAR 0124	TIME SENTENCE TO ARREST	MD=9998 OR GE 9999
REF 0124	LOC 276 WIDTH 4	

Time from probation sentence to first arrest after probation

1

44
 (CONTINUED)

Actual number is coded

- 9998. Not ascertained
- 9999. Not applicable

.....

VAR 0125	#RAP SHT FELONY ARRESTS	MD=8
REF 0125	LOC 280 WIDTH 1	

Number of felony arrests while on probation according to rap sheet only

-
- 0. No arrests
 - 1. One or more
 - 8. Not ascertained

.....

VAR 0126	WGHTD TIME SNTNCE-ARRST	MD=99998
----------	-------------------------	----------

REF 0126 LOC 281 WIDTH 5

Weighted time from sentence to first arrest on probation

Calculate by time X weight

Actual number is coded

99998. Not ascertained

.....
VAR 0127 # FELNY ARRSTS COMBINED MD=8
REF 0127 LOC 286 WIDTH 1

Number of felony arrests while on probation according to the
probationer questionnaire and rap sheet combined

1

45

(CONTINUED)

- 0. No arrests
- 1. At least one arrest based on probation/rap sheet info
- 8. Not ascertained

.....
VAR 0128 JAIL IMPOSED MD=8
REF 0128 LOC 287 WIDTH 1

Did the 1988 probation sentence include a jail term?

- 1. Yes
- 2. No
- 8. Not ascertained

.....
VAR 0129 1986 CONVICTION OFFENSE NO MISSING DATA CODES
REF 0129 LOC 288 WIDTH 1

NJRP conviction offense that put felon on probation in 1986

- 1. Murder or nonnegligent manslaughter
- 2. Rape

3. Robbery
4. Aggravated assault
5. Burglary
6. Larceny or motor vehicle theft
7. Drug trafficking
8. Other felony

.....

VAR 0130	JURISDICTION	NO MISSING DATA CODES
REF 0130	LOC 289 WIDTH 2	

Jurisdiction that sentenced felon to probation in 1986

1

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(CONTINUED)

SEE NOTE(S) 2

01. Baltimore City, Maryland
02. Baltimore County, Maryland
03. Bexar County, Texas
04. Cook County, Illinois
05. Dade County, Florida
06. Dallas County, Texas
07. Denver, Colorado
08. Erie County, New York
09. Franklin County, Ohio
10. Harris County, Texas
11. Hennepin County, Minnesota
12. Honolulu county, Hawaii
13. Jefferson County, Kentucky
14. King County, Washington
15. Kings County, New York
16. Los Angeles County, California
17. Maricopa County, Arizona
18. Milwaukee County, Wisconsin
19. Monroe County, New York
20. Nassau County, New York
21. New York County, New York
22. Oklahoma County, Oklahoma
23. Orange County, California
24. Philadelphia, Pennsylvania
25. San Bernardino County, California
26. San Diego County, California
27. San Francisco, California
28. Santa Clara County, California
29. St. Louis City, Missouri
30. St. Louis County, Missouri
31. Suffolk County, New York
32. Ventura County, California

.....

VAR 0131	PRESENTENCE INVSTGTION	MD=8
REF 0131	LOC 291 WIDTH 1	

REF 0138 LOC 298 WIDTH 1

Additional arrests after leaving probation, based on rap sheets only?

- 0. No
- 1. Yes
- 9. Not applicable

.....

VAR 0139 LAST FELONY OFFENSE REC MD=98
 REF 0139 LOC 299 WIDTH 2

Last felony offense recoded 1-8, while on probation based on rap sheets only

If the felon had three arrests then the offense code is for the third arrest. If the felon had four or more arrests then the offense code is for the last arrest.

- 01. Homicide
- 02. Rape
- 03. Robbery
- 04. Aggravated assault
- 05. Burglary
- 06. Larceny
- 07. Drug trafficking
- 08. Other felony
- 98. Not ascertained

.....

VAR 0140 LAST PRIOR OFFENSE MD=98
 REF 0140 LOC 301 WIDTH 2

Last prior felony arrest offense based on rap sheets only

Last felony arrest prior to 1986 probation (not including

1

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(CONTINUED)
the arrest that led to probation)

- 01. Homicide
- 02. Rape
- 03. Robbery
- 04. Aggravated assault
- 05. Burglary

2nd felony arrest offense while on probation, based on rap sheets only.

-
- 01. Homicide
 - 02. Rape
 - 03. Robbery
 - 04. Aggravated assault
 - 05. Burglary
 - 06. Larceny
 - 07. Drug trafficking
 - 08. Other felony

 - 98. Not ascertained
 - 99. Not applicable

.....

VAR 0144	3RD FELONY OFFNSE	MD=98 OR GE 99
REF 0144	LOC 309 WIDTH 2	

3rd felony arrest offense while on probation based on rap sheets only

-
- 01. Homicide
 - 02. Rape
 - 03. Robbery
 - 04. Aggravated assault
 - 05. Burglary
 - 06. Larceny
 - 07. Drug trafficking
 - 08. Other felony

 - 98. Not ascertained
 - 99. Not applicable

1

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VAR 0145	1ST ARREST DISP	MD=98 OR GE 99
REF 0145	LOC 311 WIDTH 2	

First felony arrest disposition charge while on probation

-
- 01. Homicide
 - 02. Rape
 - 03. Robbery
 - 04. Aggravated assault
 - 05. Burglary
 - 06. Larceny

*** NOTE 1 ***

Weights applied to the NACJP 1986 Probation Cohort by jurisdiction and conviction offense

Jurisdiction	Conviction offense							Oth. Fel-ony
	Homi-icide	Rape	Rob-bery	Aggrav. Assault	Burg-lary	Lar-ceny	Drug Traff.	
Total cases	1.5	1.6	3.5	3.3	5.6	7.0	7.3	11.6
Baltimore City	1	1	3	3	3	2	8	3
Baltimore County	NA	1	1	2	2	6	2	2
Bexar Co.	1	1	2	2	6	7	2	7
Cook Co.	2	2	8	4	10	14	8	20
Dade Co.	2	1	4	4	7	10	4	16
Dallas Co.	3	3	4	2	10	10	2	12
Denver	1	1	1	1	2	1	1	6
Erie Co.	NA	1	1	1	1	1	1	3
Franklin County	NA	NA	3	1	3	3	2	9
Harris Co.	1	3	8	6	10	10	5	15
Hennepin County	1	1	1	1	2	4	1	5
Honolulu County	1	1	1	1	1	3	2	4
Jefferson County	1	1	1	1	2	1	2	4
King County	NA	1	2	4	8	8	2	8
Kings Co.	1	1	8	2	4	2	6	16
56 Los Angeles County	3	2	7	14	18	14	20	20
Maricopa	1	1	2	6	5	20	10	24
Milwaukee								

County	1	4	3	2	7	7	6	6
Monroe Co.	NA	1	1	1	1	1	1	3
Nassau Co.	NA	NA	2	2	3	5	2	6
New York County	NA	1	10	2	5	5	10	14
Oklahoma County	1	1	1	2	10	6	6	18
Orange Co.	1	1	1	2	8	4	12	8
Philadelphia	1	2	3	4	5	6	7	8
San Bernadino County	1	1	1	1	2	2	4	8
San Diego County	1	2	2	4	7	16	8	20
San Fran- cisco	1	1	2	4	3	3	8	8
Santa Clara County	1	2	2	4	5	11	10	19
St. Louis City	1	1	1	2	2	4	1	10
St. Louis County	NA	1	1	2	2	6	2	6
Suffolk County	NA	1	2	1	6	4	2	8
Ventura County	NA	1	1	1	1	2	2	3

Note: Some cases may have weights that differ from those shown because of offense reclassification that occurred in the course of the sentencing work; e.g. a case originally picked up as robbery may have been subsequently coded as a theft.

*** NOTE 2 ***

Attachment A

The listing of the 32 counties in the NACJP probation study, along with the unweighted and weighted case counts and the average weights by jurisdiction

01-BALT CIT	= Baltimore City	MD	450	1,660	3.7
02-BALT CO	= Baltimore Co.	MD	391	1,065	2.7
03-Bexar Co	= Bexar County	TX	433	2,029	4.7
04-COOK CO	= Cook County	IL	569	6,236	11.0
05-DADE CO	= Dade County	FL	315	2,496	7.9
06-DALLAS	= Dallas County	TX	495	3,522	7.1
07-DENVER	= Denver	CO	236	594	2.5
08-ERIE CO	= Erie County	NY	220	378	1.7
09-FRANKLIN	= Franklin Co.	OH	230	861	3.7
10-HARRIS	= Harris County	TX	478	4,169	8.7
11-HENNEPIN	= Hennepin Co.	MN	451	1,264	2.8
12-HONOLULU	= Honolulu Co.	HI	320	701	2.2
13-JEFF KY	= Jefferson Co.	KY	297	603	2.0
14-KING CO	= King Co	WA	416	2,355	5.7
15-KINGS CO	= Kings County	NY	430	2,691	6.3
16-LOS AN- GELES CO	= Los Angeles Co.	CA	1,251	18,741	15.0
17-MARICOPA	= Maricopa Co.	AZ	453	5,305	11.7
18-MILWAUKE	= Milwaukee Co.	WI	321	1,611	5.0
19-MONROE	= Monroe County	NY	200	338	1.7
20-NASSAU	= Nassau County	NY	197	694	3.5
21-NEWYORK	= New York Co.	NY	316	2,581	8.2
22-Oklahoma	= Oklahoma Co.	OK	333	2,912	8.7
23-ORANGE	= Orange County	CA	436	2,204	5.1
24-PHILLY	= Philadelphia	PA	361	2,046	5.7
25-SAN BERN County	= San Bernardino County	CA	295	770	2.6
26-SANDY	= San Diego County	CA	497	4,561	9.2
27-SANFRAN	= San Francisco	CA	359	1,690	4.7
28-STCLARA	= Santa Clara County	CA	447	4,024	9.0
29-STLUCITY	= St. Louis City	MO	246	952	3.9
30-STLUCO	= St. Louis County	MO	334	1,037	3.1
31-SUFFOLK	= Suffolk County	NY	323	1,368	4.2
32-VENTURA	= Ventura County	CA	270	473	1.8